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TRANSMITTAL FORM

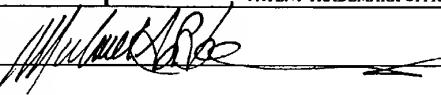
(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/776,707	
	Filing Date	February 6, 2001	
	First Named Inventor	David S. MILLER	
	Group Art Unit	2163	
	Examiner Name	M. Irshadullah	
Total Number of Pages in This Submission	1	Attorney Docket Number	31921-169140

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>	
			<input type="checkbox"/> Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Venable P.O. Box 34385 Washington, DC 20043-9998	 26694 PATENT TRADEMARK OFFICE
Signature	Michael A. Sartori, Ph.D. Reg. No. 41,289 	
Date	May 21, 2003	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
DC2-458362



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David S. MILLER

Application No.: 09/776,707

Confirmation No.: 5703

Filed: February 6, 2001

For: SYSTEM FOR COLLECTING
TAX DATA (As Amended)

Art Unit: 2163

Examiner: M. Irshadullah

Attorney Docket No. 31921-169140

Customer No.



26694

PATENT TRADEMARK OFFICE

Information Disclosure Statement Under 37 C.F.R. § 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

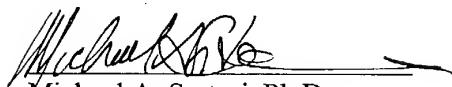
Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b). The U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449. One copy of each of the listed documents is submitted herewith.

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guidelines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

Respectfully submitted,

Date: May 21, 2003



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MAS/CJS
DC2-458156



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David S. MILLER

Application No.: 09/776,707

Art Unit: 2163

Examiner: Not Yet Assigned

Confirmation No.: 5703

Attorney Docket No.: 31921-169140

Filed: February 6, 2001

Customer No.:



26694

Patent and Trademark Office

For: FULLY AUTOMATED SYSTEM
FOR TAX REPORTING,
PAYMENT AND REFUND

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b). The U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449. One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits.

Please charge any necessary fee or credit any overpayment in connection with this
Information Disclosure Statement to Deposit Account No. 22-0261.

Dated: June 22, 2001

Respectfully submitted,



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MAS/EJW/r1
DC2-293784



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: David S. MILLER

Patent No. 6,697,787
Issue Date: February 24, 2004
Inventor: David S. MILLER
For: System for Collecting Tax Data

Cof C
#23
ED
Certificate
MAR 12 2004
of Correction

Attorney Docket No.: 31921-169140
Customer No.: 26694

Request for Certificate of Correction Under 37 C.F.R. § 1.322

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The issuance of a Certificate of Correction is requested for the above-identified U.S. patent correcting the error set forth on the attached form PTO/SB/44, two copies of which are enclosed. The references cited below should be inserted as follows:

(56) References Cited

U.S. PATENT DOCUMENTS

5,505,461		04/1996	Bell et al.
5,799,283		8/1998	Francisco et al.
5,819,249		10/1998	Dohanich et al.
5,875,433		02/1999	Francisco et al.
5,875,435		2/1999	Brown
6,183,140	B1	2/2001	Singer et al.
6,289,319	B1	9/2001	Lockwood
6,311,170	B1	10/2001	Embrey
6,347,304	B1	2/2002	Taricani, Jr.

MAR 15 2004

OTHER PUBLICATIONS

Randall, et al., "First Looks", Massachusetts CPA Review, Vol. 70, No. 1, pp. 30-32, Winter 1996.

Brozovsky, et al., "Tax Deposits Go Electronic", CPA Journal, Vol. 65, No. 12, pp. 53-54, December 1995.

"Tax Prep Software: Out Third Annual 1040 Face-Off", Accounting Technology, Vol. 10, No. 10, pp. 20-63, November 1994.

"SALES/USE Tax System", Taxware International, Inc., September 1999,
<http://www.taxware.com/ZProducts/salesuse/sutaxsys.html>

"Linking Quicken to TurboTax"(with two pages of Table of Contents), pp. 1-3,
www.cma.zdnet.com/book/quicken/ch22/ch22.htm

"QIF Definition", pp. 1-6, www.respmech.com/mym2qifw/qif_new.htm

"Quicken – Answers", pp. 1-2, www.intuit.com/support/quicken/faqs/win3/5176.html

"Quicken Deluxe 98", pp. 1-4, www.mcronline.com/Quicken98.htm

"Quicken Deluxe 98: taxes", pp.1-2, www.cnet.com.sg/Briefs/Comparisons/Money/ss02g.

"Quicken QIF Alert", pp.1-4, www.fi.inuit.com/technical/qif_fi_alert.cfm

"Shortcutz", Intuit Quicken® 99", pp. 1-2,
www.educationalmultimedia.com/shortcutz/spreadsheets/quicken99.cfm?1

Of the above references, the patents and the first four other publications were submitted with the Information Disclosure Statement filed on May 21, 2003. These references are not listed on the front page of the patent. A copy of the Information Disclosure Statement filed on May 21, 2003 without the documents and a copy of the corresponding filing receipt bearing the U.S. Patent and Trademark Office date stamp are enclosed.

The remaining seven other publications were submitted with the Information Disclosure Statement filed on June 22, 2001 and listed on page 3 of 3 of the Form 1449 filed therewith. These references are not listed on the front page of the patent. A copy of the Information Disclosure Statement filed on June 22, 2001 without the documents and a copy of the corresponding filing receipt bearing the U.S. Patent and Trademark Office date stamp are enclosed.

No fee is believed necessary. Should any fee be required, please charge our Account No. 22-0261 and advise us accordingly.

Respectfully submitted,

Date: March 9 2004—



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MAS/cdw
DC2-525935

113091

Venable Filing Number



Attorney/Agent: MAS/CJS/trl
 PTO Due Date: May 21, 2003
 Date Filed: May 21, 2003

TM Official Gazette Date: _____

Atty. Docket No: 31921-169140
 Re: David S. Miller

Application No: 09/776,707Filing Date: February 6, 2001

Patent No.: _____

Issue Date: _____

Trademark: _____

Trademark Reg. No: _____

Opposition/Cancellation No: _____

The following items were received from Venable, Washington, D.C., by the U.S. Patent & Trademark Office:

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| <input checked="" type="checkbox"/> Transmittal Letter | Filing Fee |
| <input type="checkbox"/> Fee Transmittal Letter | Surcharge Fee |
| <input type="checkbox"/> New U.S. Provisional Application (pages of specification/claims) | Additional Claim Fee |
| <input type="checkbox"/> Formal Drawings (sheets, Figs.) | Recordation/Indexing Fee |
| <input type="checkbox"/> Invention Declaration | IDS Fee |
| <input type="checkbox"/> U.S. National Stage Application of PCT Application | Extension Fee |
| <input type="checkbox"/> Translation of International Application | Notice of Appeal Fee |
| <input type="checkbox"/> New U.S. TM Application (specimens) | Brief on Appeal Fee |
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| <input type="checkbox"/> Substitute Specification | Petition Fee |
| <input type="checkbox"/> Rule 53(b) Continuation or Divisional Application (<i>attach copy of specification, claims, drawings & declaration</i>) | Issue Fee |
| <input type="checkbox"/> Priority Document-Cert. Copy of Appln.#: ; Country | Publication Fee |
| <input type="checkbox"/> Date Filed: | Maintenance Fee |
| <input type="checkbox"/> Request for Continued Examination (RCE) under 37 CFR 1.114 | TM Statement of Use |
| <input type="checkbox"/> Request for Reconsideration | 8 Affidavit Fee |
| <input type="checkbox"/> Application Data Sheet | 8 and 15 Affidavit |
| <input type="checkbox"/> Assignment w/Cover Sheet | TM Renewal Application Fee |
| <input checked="" type="checkbox"/> IDS w/ PTO SB/08 a/k/a PTO Form-1449 (13 references) | Notice of Opposition Fee |
| <input type="checkbox"/> Response | TM Extension of Time Fee |
| <input type="checkbox"/> <input type="checkbox"/> Amendment/ <input type="checkbox"/> Preliminary Amendment | |
| <input type="checkbox"/> Petition/Request for Extension of Time (one mo. ext.) | |
| <input type="checkbox"/> Notice of Appeal | |
| <input type="checkbox"/> Appeal Brief (<i>in triplicate</i>) | |
| <input type="checkbox"/> <input type="checkbox"/> Reply Brief (<i>in triplicate</i>)/ <input type="checkbox"/> Request for Oral Hearing | |
| <input type="checkbox"/> Confirmation of Hearing Petition | |
| <input type="checkbox"/> Issue Fee Transmittal | |
| <input type="checkbox"/> Certificate of Correction | |
| <input type="checkbox"/> Maintenance Fee Transmittal | |
| <input type="checkbox"/> Power of Attorney | |
| <input type="checkbox"/> Response to Notice to File Missing Parts | |
| <input type="checkbox"/> Response to Notice to File Missing Requirements | |
| <input type="checkbox"/> Response to Restriction Requirement | |
| <input type="checkbox"/> Petition to Revive | |
| <input type="checkbox"/> Sequence Listing – CDR Enclosed? <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| <input type="checkbox"/> Status Inquiry | |
| <input type="checkbox"/> Request for Non-Publication | |
| <input type="checkbox"/> Request to Rescind Non-Publication Request | |
| <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> TM Statement of Use | |
| <input type="checkbox"/> Extension of Time (mo. ext.) | |
| <input type="checkbox"/> Declaration Under 8 | |
| <input type="checkbox"/> Declaration Under 8 and 15 | |
| <input type="checkbox"/> TM Renewal Application | |
| <input type="checkbox"/> Notice of Opposition (<i>Please list all documents in Other section</i>) | |
| <input type="checkbox"/> Amendment to Alleged Use | |
| <input type="checkbox"/> Other: (<i>Please describe below</i>) | |



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Check Number Attached
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97743

Venable Filing Number



June 22, 2001

Filing Date

Atty. Docket No.	31921-169140
Re:	David S. Miller
Application No.:	09/776,707
Patent No.:	
Trademark:	
Opposition/Cancellation No:	

Filing Date:	February 6, 2001
Issue Date:	
Trademark Reg. No:	

The following items were received from Venable
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U.S. PTO FEES ENCLOSED

Transmittal Letter	Filing Fee
New Patent Application ,title page, specification (20 pages)/claims and abstract	
Formal Drawings (Sheets)	Surcharge Fee
Invention Declaration	
National Stage Application	XX -- \$148.00 Additional Claim Fee
Translation of International Application	
New U.S. TM Application (specimens)	
Rule 53(d) Continuation or Division Application	
Rule 53(b) Continuation or Division	
Application (in Duplicate) (attach copy of specifications, claims, drawings & declaration)	
Priority Document-Cert. Copy of German	
Appln. # Date	
Assignment w/ Cover Sheet	
Information Disclosure Statement with PTO Form 1449 (with 23 cited references)	
XX	
Response	
Second Preliminary Amendment	
Petition/Request for Extension of Time	
Notice of Appeal	
Appeal Brief (in triplicate)	
Request for Oral Hearing	
Confirmation of Hearing Petition	
Issue Fee Transmittal	
Certificate of Correction	
Maintenance Fee Transmittal	
TM Statement of Use	
Declaration Under 8	
Declaration Under 8 and 15	
TM renewal Application	
Notice of Opposition	
Request for Corrected Filing Receipt	
Terminal Disclaimer	
Submission of Certified Priority Document Transmittal	
XX	
Oral Hearing Request Fee	
Petition Fee	
Issue Fee	
Maintenance Fee	
TM Statement of Use	
8 Affidavit Fee	
8 and 15 Affidavit	
TM Renewal Application Fee	
Notice of Opposition Fee	
Terminal Disclaimer	
\$148.00	TOTAL FEES
Check Number	P 405933



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DC2-294084